Interview Summary	Application No.	Applicant(s)
	09/865,027	PREVITE, ANTHONY
	Examiner	Art Unit
	James O. Hansen	3637
All participants (applicant, applicant's representative, PTO personnel):		
(1) <u>James O. Hansen</u> .	(3)	
(2) Mr. Gordon Coplein.	(4)	
Date of Interview: <u>May 13 &amp; 14, 2004</u> .		
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2)□ applicant's representative]		
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.	
Claim(s) discussed: <u>claims 5, 7, 11, 15, 16</u> .		
Identification of prior art discussed: prior art of record including Gavin et al., Schulz & Klein.		
Agreement with respect to the claims f) was reached.	g)□ was not reached. h)□ N	<b>√</b> A.
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>See Continuation Sheet</u> .		
(A fuller description, if necessary, and a copy of the amendallowable, if available, must be attached. Also, where no allowable is available, a summary thereof must be attached	copy of the amendments that v	
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.		
Examiner Note: You must sign this form unless it is an	JAMES O. PRIMARY I	. HANSEN EXAMINER
Attachment to a signed Office action.	Examiner's sign	nature, if required

# **Summary of Record of Interview Requirements**

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
  attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
  not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted.
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,

(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)

- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: In an effort to pursue compact prosecution, the examiner contacted applicant proposing amendments to the claims [thereby obviating pending prior art rejections and clarifying the claims] so as to place the application in condition for allowance. The examiner faxed a copy of proposed changes on May 13th for review. On May 14th, applicant responded with a revised version of the proposed changes. See the attached Examiner's amendment, which is deemed to place the application in clear condition for allowance [in view of the changes to date and further in view of applicant's remarks on the record] .

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# U.S. Department of Commerce Patent and Trademark Office Assistant Commissioner of Patents Technology Center 3600 2451 Crystal Drive, Arlington VA

# **FAX COVER SHEET**

To: Mr. Gondon Coplein	From: Ex. HANSEN	
Fax: 770-393-2616	Art Unit: 3637	
Serial No.: 09   865, 027	Date: 5/13/04	
CC:	Phone No.: 703-305-7414	
□ Urgent		
• Comments: Proposed Ex. anendment	Joshan Con Con Con Con Con Con Con Con Con Co	
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# **AMENDMENTS TO THE CLAIMS**

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Claims 1-3 (canceled).

4. (Previously presented) A security locker as in claim 11 wherein said ceiling comprises at least one panel formed by opposing skins each having a ventilation opening therein.

5. (Previously presented) A security locker as in claim 11 wherein said side walls and said end walls are each formed by respective panels and further comprising a plurality of support members connected between the upper ends of said side panels and said end panels, and said ceiling formed by a plurality of panels supported by said support members.

- 6. (Previously presented) A security locker as in claim 11 further comprising at least one equipment mounting station connected between the floor and said ceiling.
  - 7. (Currently amended) A security locker as in claim 5 comprising:

a pair of opposing side walls and a pair of opposing end walls each extending upwardly from a floor with said end walls and side walls connected together at the ends thereof, at least one of said side walls and said end walls formed by at least one panel having spaced parallel skins;

a ventilation opening formed in the parallel skins of said at least one panel by a ventilation section in each skin, the said ventilation section of said ventilation opening being in-line and opposing in an upper part of each of said skins of said at least one panel with the space between said parallel skins being open in the area of said opposing ventilation sections, wherein

each said opposing ventilation section of said ventilation opening is formed by a plurality of openings in the skin of each said ventilation section to permit air to flow therethrough from outside of said locker to the interior thereof, and

a ceiling disposed on the upper ends of said side walls and end walls, said ceiling having a at least one ventilation opening

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wherein each of said ceiling panels is formed by opposing skins, each having at least at least one of said ceiling panels having a ventilation opening therein.

- 8. (Previously presented) A security locker as in claim 7 wherein there is a ventilation opening formed by an in-line ventilation section in each of the opposed skins of said at least one ceiling panel that comprises a plurality of openings.
- 9. (Currently amended) A security locker as in claim 8 wherein in the said at least one panel of said end walls and said side walls said plurality of openings in one said skin forming said ventilation section are spaced apart and are offset from the openings of the opposing skin ventilation section to restrict viewing into the interior of the locker.
- 10. (Previously presented) A security locker as in claim 9 further comprising at least one equipment mounting station connected between the floor and said ceiling.

11. (Currently amended) A security locker comprising:

a pair of opposing side walls and a pair of opposing end walls each extending upwardly from a floor with said end walls and side walls connected together at the ends thereof, at least one of said side walls and said end walls formed by at least one panel having spaced parallel skins defining an empty space therebetween;

a ventilation opening formed in the parallel skins of said at least one panel by a ventilation section in each skin, the said ventilation section of said ventilation opening being in-line and opposing in an upper part of each of said skins of said at least one panel with the space between said parallel skins being open in the area of said opposing ventilation sections, wherein

each said opposing ventilation section of said ventilation opening is formed by a plurality of openings arranged in linear columns and rows in the skin of each said ventilation section to permit air to flow therethrough from outside of said locker to the interior thereof, and

having a at least one ventilation opening. Wherein said walls are at substanciably the same height.

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#### Claims 12-13. Canceled.

- 14. (Currently amended) A security locker as in claim 8 wherein in the said at least one panel of said end walls and said side walls said plurality of openings in one said skin forming said ventilation section are spaced apart and are in-line from the openings of the opposing skin of said ventilation section to restrict viewing into the interior of the locker.
- one ventilation opening of a panel of a said at least one end wall and side wall is adjacent to the ceiling of the enclosure.
- least one said ventilation epening in each of the opposing side and end walls at substantially the same height.

Print Ant comparation 17. (Currently amended) A security locker as in claim 11 wherein in the said at least one panel of said end walls and side walls said plurality of openings arranged in linear rows and columns in one said skin forming said ventilation section are in-line with the openings arranged in linear rows and columns of the opposing ventilation section of the other skin.

- 18. (Currently Amended) A security locker as in claim 11 wherein in the said at least one panel of said end walls and side walls said plurality of openings arranged in linear rows and columns in one said skin forming said ventilation section are offset from the openings of the opposing ventilation section arranged in linear rows and columns of the other skin.
- 19. (Previously presented) A security locker as in claim 11 wherein said opposing ventilation sections are of the same shape and size.

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Claims 20-21. Canceled.

- 22. (New) A security locker as in claim 19 wherein said openings are 2"X2".
- 23. (New) A security locker as in claim 22 wherein said openings are spaced ½" apart.

GORDON D. COPLEIN 1046 OAKPOINTE PLACE DUNWOODY, GA 30338 (770) 393-2820 FAX(770) 393-2616

DATE: May 14, 2004

RECIPIENT: Examiner Hansen

NUMBER TRANSMITTING TO: 703-746-3659

Re: 09/865,027

NUMBER OF PAGES TRANSMITTING (INCLUDING COVER SHEET) 5

Examiner Hansen;

Here is my attempt at revising the claims.

The amended claims should be read to include both your revisions and mine.

Please call if any questions.

Gordon Coplein

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# AMENDMENTS TO THE CLAIMS

Claims 1-3 (canceled).

4. (Previously presented) A security locker as in claim 11 wherein said ceiling comprises at least one panel formed by opposing skins each having a ventilation opening therein.

5. (Previously presented) A security locker as in claim 11 wherein said side walls and said end walls are each formed by respective panels and further comprising a plurality of support members connected between the upper ends of said side panels and said end panels, and said ceiling formed by a plurality of panels supported by said support members.

6. (Previously presented) A security locker as in claim 11 further comprising at least one equipment mounting station connected between the floor and said ceiling.

7. (Currently amended) A security locker as in claim 5 comprising;

a pair of opposing side walls and a pair of opposing end walls each extending upwardly from a floor with said end walls and side walls connected together at the ends thereof, at least one of said side walls and said end walls formed by at least one panel having spaced parallel

skins:

a ventilation opening formed in the parallel skins of said at least one panel by a

ventilation section in each skin, the said ventilation section of said ventilation opening being in-line

and opposing in an upper part of each of said sking of said at least one panel with the space between

a said parallel sking being open in the area of said opposing ventilation sections, wherein

plurality of openings in the skin of each said ventilation section to permit air to flow therethrough

from outside of said locker to the interior thereof, and
having a stitus one ventilation opening

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members conserted between the upper ends of said panels of said opposing side walls; on Application No.: 09/865,027

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At least one wherein each of said ceiling panely it formed by opposing skins, each having at least one at said ceiling panely having a ventilation opening therein.

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Als pased on said ceiling panely having a ventilation opening therein.

- 8. (Previously presented) A security locker as in claim 7 wherein there is a ventilation opening formed by an in-line ventilation section in each of the opposed skins of said at least one ceiling panel that comprises a plurality of openings.
- 9. (Currently amended) A security locker as in claim 8 wherein in the said at least one panel of said end walls and said side walls said plurality of openings in one said skin forming said ventilation section are spaced apart and are offset from the openings of the opposing skin ventilation section to restrict viewing into the interior of the locker.
- 10. (Previously presented) A security locker as in claim 9 further comprising at least one equipment mounting station connected between the floor and said ceiling.

2 a pair of opposing side walls and a pair of opposing end walls each extending upwardly from a floor with said end walls and side walls connected together at the ends thereof, at least one of said side walls and said end walls formed by at least one panel having spaced parallel

- skins defining an empty space therebetween;

  a ventilation opening formed in the parallel skins of said at least one panel by a

  ventilation section in each skin, the said ventilation section of said ventilation opening being in-line
- 8 and opposing in an upper part of each of said sking of said at least one panel with the space between
- 9 said parallel skins being open in the area of said opposing ventilation sections, wherein
  cach said opposing ventilation section of said ventilation opening is formed by a
- plurality of openings arranged in linear columns and rows in the skin of each said ventilation section
- to permit air to flow therethrough from outside of said locker to the interior thereof, and
- (3 a ceiling disposed on the upper ends of said side walls and end walls, said ceiling)

  14 having e-at least one ventilation opening. when in said wells are at substantiably The same height.

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## Claims 12-13. Canceled.

- 14. (Currently amended) A security locker as in claim 8 wherein in the said at least one panel of said end walls and said side walls said plurality of openings in one said skin forming said ventilation section are spaced apart and are in-line from the openings of the opposing skin of said ventilation section to restrict viewing into the interior of the locker.
- 15. (Previously presented) A security locker as in claim 11 wherein said at least one ventilation opening of a panel of a said at least one end wall and side wall is adjacent to the ceiling of the enclosure.
- 16. (Previously presented) A security locker as in claim 11 wherein there are at least one said ventilation exercises in each of the opposing side and end walls at substantially the same height.

(Currently amended) A security locker as in claim 11 wherein in the said at least one panel of said end walls and side walls said plurality of openings arranged in linear rows and columns in one said skin forming said ventilation section are in-line with the openings arranged in linear rows and columns of the opposing ventilation section of the other skin.

- 18. (Currently Amended) A security locker as in claim 11 wherein in the said at least one panel of said end walls and side walls said plurality of openings arranged in linear rows and columns in one said skin forming said ventilation section are offset from the openings of the opposing ventilation section arranged in linear rows and columns of the other skin.
- 19. (Previously presented) A security locker as in claim 11 wherein said opposing ventilation sections are of the same shape and size.

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Claims 20-21. Canceled.

(New) A security locker as in claim 19 wherein said openings 22. are 2"X2".

(New) A security locker as in claim 22 wherein said openings 23. are spaced 1/2" apart.